

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS AND PROTEINS, AND DIAGNOSTIC AND THERAPEUTIC USES THEREOF**

the Specification of which

☐ is attached hereto  
☒ was filed on August 17, 1994  
as Application Serial No. 08/292,345.

I hereby state that I have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

<u>APPLICATION</u> <u>NUMBER</u>	<u>PRIOR FILED APPLICATION(S)</u> <u>COUNTRY (DAY/MONTH/YEAR FILED)</u>	<u>PRIORITY</u> <u>CLAIMED</u>
NONE		

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>APPLICATION</u> <u>NO.</u>	<u>FILING DATE</u> <u>(DAY/MONTH/YEAR)</u>	<u>STATUS - PATENTED, PENDING,</u> <u>ABANDONED</u>
NONE		

I hereby appoint as my attorneys or agents the following persons: Stefan J. Klauber (Attorney, Registration No. 22,604); David A. Jackson (Attorney, Registration No. 26,742); Barbara L. Renda (Attorney, Registration No. 27,626); James K. Poole (Attorney, Registration No. 30,676); Paul F. Fehlner (Agent, Registration No. 35,135); Sharon Crane-Feury (Agent, Registration No. 36,113); and Thomas E. Anderson (Agent, Registration No. 37,063), said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to:

DAVID A. JACKSON, ESQ.  
KLAUBER & JACKSON  
411 HACKENSACK AVENUE  
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST INVENTOR:  
RESIDENCE:

JEFFREY M. FRIEDMAN  
500 East 63rd Street  
Apt. 17B  
New York, New York 10021  
U.S.A.  
Same

COUNTRY OF CITIZENSHIP:  
POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE February 14, 1995

FULL NAME OF SECOND JOINT INVENTOR:  
RESIDENCE:

YIYING ZHANG  
30 Waterside Place  
Apt. 27F  
New York, New York 10010  
PEOPLE'S REPUBLIC OF  
CHINA  
Same

COUNTRY OF CITIZENSHIP:

POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE \_\_\_\_\_

FULL NAME OF THIRD JOINT INVENTOR:  
RESIDENCE:

RICARDO PROENCA  
26-62 30th Street  
Astoria, New York 11102  
U.S.A.  
Same

COUNTRY OF CITIZENSHIP:  
POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE \_\_\_\_\_

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FULL NAME OF FIRST INVENTOR:

RESIDENCE:

JEFFREY M. FRIEDMAN

500 East 63rd Street

Apt. 17B

New York, New York 10021

U.S.A.

COUNTRY OF CITIZENSHIP:

POST OFFICE ADDRESS:

Same

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE \_\_\_\_\_

FULL NAME OF SECOND JOINT INVENTOR:

RESIDENCE:

YIYING ZHANG

30 Waterside Place

Apt. 27F

New York, New York 10010

PEOPLE'S REPUBLIC OF

CHINA

COUNTRY OF CITIZENSHIP:

POST OFFICE ADDRESS:

Same

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE \_\_\_\_\_

Attorney Docket No.: 600-1-087

FULL NAME OF THIRD JOINT INVENTOR:

RESIDENCE:

COUNTRY OF CITIZENSHIP:

POST OFFICE ADDRESS:

RICARDO PROENCA

26-62 30th Street

Astoria, New York 11102

U.S.A.

Same

SIGNATURE OF INVENTOR

DATE

Ricardo Proenca

2/14/55

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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NONE		

Attorney Docket No.: 600-1-087

FULL NAME OF THIRD JOINT INVENTOR:  
RESIDENCE:

RICARDO PROENCA  
26-62 30th Street  
Astoria, New York 11102  
U.S.A.  
Same

COUNTRY OF CITIZENSHIP:  
POST OFFICE ADDRESS:

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE \_\_\_\_\_



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Jeffrey M. Friedman et al.  
SERIAL NO.: UNASSIGNED : Group Art Unit: UNKNOWN  
FILED: HEREWITH : Examiner: UNKNOWN  
FOR: OB POLYPEPTIDES, MODIFIED FORMS AND  
COMPOSITIONS (AS AMENDED)

**ASSOCIATE POWER OF ATTORNEY**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned attorney for applicant hereby appoints Christine E. Dietzel, Ph.D.,  
Registration No. 37,309, as Agent to prosecute the above-identified patent application and to  
transact all business in the Patent and Trademark Office connected therewith.

Please continue to address all correspondence as follows:

David A. Jackson, Esq.  
KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, New Jersey 07601

Respectfully submitted,

By: 

David A. Jackson  
Attorney for Applicant  
Registration No. 26,742  
KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, NJ 07601  
(201)487-5800

Date:



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DATE: 04/24/95  
TO:

N08B

KLAUBER & JACKSON  
DAVID A. JACKSON, ESQ.  
411 HACKENSACK STREET, 4TH FLOOR  
HACKENSACK, NEW JERSEY 07601

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:  
FRIEDMAN, JEFFREY M.

DOC DATE: 02/14/95

ASSIGNOR:  
ZHANG, YIYING

DOC DATE: 03/02/95

ASSIGNOR:  
PROENCA, RICARDO B.

DOC DATE: 02/21/95

RECORDATION DATE: 03/10/95      NUMBER OF PAGES 007      REEL/FRAME 7373/0927

DIGEST: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:  
ROCKEFELLER UNIVERSITY, THE  
A CORP. OF NEW YORK  
1230 YORK AVENUE  
NEW YORK, NEW YORK 10021-6399

SERIAL NUMBER      8-292345      FILING DATE      08/17/94  
PATENT NUMBER           ISSUE DATE      00/00/00

*L. Stevenson*  
EXAMINER/PARALEGAL  
ASSIGNMENT BRANCH  
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

RECEIVED

MAY 12 1995

KLAUBER & JACKSON

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Attorney Docket No. 600-1-087

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey M. Friedman, Yiying Zhang, and Ricardo B. Proenca

☒ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☐ Corporation-State

Other: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance: 1/9

☒ Assignment(2 Counterparts)  
☐ Merger  
☐ Security Agreement ☐ Change of Name

Other: \_\_\_\_\_

Execution Dates: 2/14/95; 3/2/95; and, 2/21/95

2. Name and address of receiving party(ies):

Name: The Rockefeller University

Address: 1230 York Avenue

New York, New York 10021-6399

☐ Individual(s) Citizenship  
☐ Association  
☐ General Partnership  
☐ Limited Partnership  
☒ Corporation-State New York  
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

08/292,345 - Filed 8/17/94

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson, Esq.

Address: Klauber & Jackson

411 Hackensack Street, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

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1 581

40.00 OK

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Fehlner  
Name of Person Signing

*Paul F. Fehlner*  
Signature  
Reg. No. 35,135

Mar 7, 1995  
Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

93761666

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(Rev. 6/93)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Attorney Docket No. 600-1-087

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

(1) Name of conveying party(ies):  
 Jeffrey M. Friedman, Yiying Zhang, and Ricardo B. Proenca

[X] Individual(s)      [ ] Association  
 [ ] General Partnership      [ ] Limited Partnership  
 [ ] Corporation-State

Other: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? [ ] Yes [x] No

(3) Nature of conveyance: 16

[X] Assignment(2 Counterparts)  
 [ ] Merger  
 [ ] Security Agreement      [ ] Change of Name

Other: \_\_\_\_\_

Execution Dates: 2/14/95; 3/2/95; and 2/21/95

(4) Application number(s) or patent number(s):

A. Patent Application No.(s)  
 08/292,345 - Filed 8/17/94

Additional numbers attached? [ ] Yes [X] No

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson, Esq.

Address: Klauber & Jackson  
411 Hackensack Street, 4th Floor  
Hackensack, New Jersey 07601

(6) Total number of applications and patents involved: One \_\_\_\_\_

7. Total fee (37 CFR 3.41): \$40.00  
 [x] Enclosed  
 [ ] Authorized to be charged to deposit account, if necessary

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11-1153  
 (Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE 1 561 40.00 CN

(9) Statement and signature. 03/23/95 082929245  
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Fehlner  
 Name of Person Signing

Paul F. Fehlner  
 Signature

Mar 7, 1995  
 Date

Reg. No. 35,135

Total number of pages including cover sheet, attachments, and document: 7

FERD 03-21-95

REC 1373 MAR 9 21

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

93761666

**ASSIGNMENT**

WHEREAS, WE,

JEFFREY M. FRIEDMAN, a citizen of the United States, residing at  
500 East 63rd Street  
Apt. 17B  
New York, New York 10021;

YIYING ZHANG, a citizen of the People's Republic of China, residing at  
30 Waterside Place  
Apt. 27F  
New York, New York 10010; and

RICARDO PROENCA, a citizen of the United States, residing at  
26-62 30th Street  
Astoria, New York 11102,

ASSIGNORS, have invented certain new and useful improvements in

**MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS AND  
PROTEINS, AND DIAGNOSTIC AND THERAPEUTIC USES THEREOF**

for which we have filed an Application for Letters Patent in the United States on  
August 17, 1994 as U.S. Serial No. 08/292,345; and

WHEREAS,               THE ROCKEFELLER UNIVERSITY,  
                          an education corporation organized and existing under  
                          the laws of the State of New York,  
with offices at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the  
said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of  
which is hereby acknowledged, we the said

ASSIGNORS,

have sold, assigned, transferred and set over, and by these presents do hereby sell,  
assign, transfer and set over, to the said

ASSIGNEE,

its successors, legal representatives and assigns, the entire right, title and interest in, to  
and under the said improvements, and the said application and all divisions, renewals, and  
continuations thereof, and all Letters Patent of the United States which may be granted  
thereon and all reissues and extensions thereof, and all applications for Letters Patent  
which may hereafter be filed for said improvements in any country or countries foreign to  
the United States, including the right to claim priority under the terms of any appropriate  
International Convention based upon said application for Letters Patent of the United  
States, and all Letters Patent which may be granted for said improvements in any country  
or countries foreign to the United States and extensions, renewals and reissues thereof;

REC-1373 F-112928

2

Date March 2, 1995

Yiying Zhang L.S.  
YIYING ZHANG

State of Ny :  
: ss.  
County of Ny :

Before me this 2<sup>nd</sup> day of March 1995, personally appeared YIYING ZHANG, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

TERESA L. SOLOMON  
Notary Public, State of New York  
No. 31-4989836  
Qualified in New York County  
Commission Expires Dec. 16, 1995

Teresa Solomon  
Notary Public

(Affix Seal Here)

Date \_\_\_\_\_, 19

\_\_\_\_\_  
RICARDO PROENCA L.S.

State of :  
: ss.  
County of :

Before me this \_\_\_\_\_ day of \_\_\_\_\_ 1995, personally appeared RICARDO PROENCA, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Affix Seal Here)

REC-1373 FILED 930

**ASSIGNMENT**

WHEREAS, WE,

JEFFREY M. FRIEDMAN, a citizen of the United States, residing at  
500 East 63rd Street  
Apt. 17B  
New York, New York 10021;

YIYING ZHANG, a citizen of the People's Republic of China, residing at  
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NOW, THEREFORE, for other good and valuable consideration, the receipt of  
which is hereby acknowledged, we the said

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have sold, assigned, transferred and set over, and by these presents do hereby sell,  
assign, transfer and set over, to the said

ASSIGNEE,

its successors, legal representatives and assigns, the entire right, title and interest in, to  
and under the said improvements, and the said application and all divisions, renewals, and  
continuations thereof, and all Letters Patent of the United States which may be granted  
thereon and all reissues and extensions thereof, and all applications for Letters Patent  
which may hereafter be filed for said improvements in any country or countries foreign to  
the United States, including the right to claim priority under the terms of any appropriate  
International Convention based upon said application for Letters Patent of the United  
States, and all Letters Patent which may be granted for said improvements in any country  
or countries foreign to the United States and extensions, renewals and reissues thereof;

FILED 1373 MAR 93 1



and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

ASSIGNEE,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

ASSIGNEE,

its successors, legal representatives and assigns, any fact known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

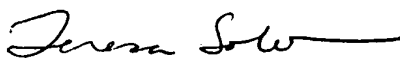
IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date February 14, 1995

  
JEFFREY M. FRIEDMAN L.S.

State of Ny :  
County of Ny : ss.  
:

Before me this 14 day of Feb 1995, personally appeared JEFFREY M. FRIEDMAN, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

  
Notary Public

(Affix Seal Here)

TERESA L. SOLOMON  
Notary Public, State of New York  
No. 31-4989236  
Qualified in New York County  
Commission Expires Dec. 16, 1995

0017373 001932

Date \_\_\_\_\_, 19

YIYING ZHANG

L.S.

State of

:

: ss.

County of

:

Before me this       day of       1995, personally appeared YIYING ZHANG, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Affix Seal Here)

RECORDED  
NEW YORK STATE DEPARTMENT OF TAXATION AND FINANCE

MAR 10 95

Date 2/21, 1995

Ricardo Proenca  
RICARDO PROENCA

L.S.

State of

NY

:

: ss.

County of

NY

:

Before me this 21<sup>st</sup> day of February, 1995, personally appeared RICARDO PROENCA, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Teresa Solomon  
Notary Public

(Affix Seal Here)

TERESA L. SOLOMON  
Notary Public, State of New York  
No. 31-4989836  
Qualified in New York County  
Commission Expires Dec. 16, 12/95

REC-1313 PAGE 933